

THE LAW OFFICES OF  
**ANDREW D. FORTNEY, PH.D., P.C.**

401 W. FALLBROOK AVENUE, SUITE 204  
FRESNO, CALIFORNIA 93711

WWW.FORTNEYLAW.COM

TELEPHONE: (559) 432-6847

FAX: (559) 432-6872

EMAIL: INFO@FORTNEYLAW.COM

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JUN 06 2006

ANDREW D. FORTNEY, PH.D.

ALEC B. PLUMB\*

\*REGISTERED PATENT AGENT

ATTN: MAIL STOP MISSING PARTS

COMPANY: COMMISSIONER FOR PATENTS / P.O. Box 1450, ALEXANDRIA VA 22313-1450

FAX: 1 (571) 273-8300

DATE: 06-06-2006

TIME: 12:55 PM

☐ WITH CONFIRMATION  
☒ WITHOUT CONFIRMATION

RE: APPLICATION No. 10/789,317  
FILED: 02-27-2004

I hereby certify that this document and all documents listed below are being transmitted via facsimile to  
Commissioner for Patents, fax no. (571) 273-8300, on June 6, 2006

By:

  
Jennie Heaton

SUBMITTED HERewith FOR THE ABOVE-CAPTIONED APPLICATION:

- Transmittal Form
- Request for Corrected Filing Receipt
- Copy of Filing Receipt Indicating Requested Correction (2 Pgs.)
- Copy of Declaration as filed on February 27, 2004 (2 Pgs.)
- Copy of Specification cover page as filed on February 27, 2004

Respectfully submitted,

  
Alec B. Plumb; Reg. No. 56,433

PLEASE DIRECT ANY QUESTIONS REGARDING THE TRANSMISSION OF THIS FAX TO:  
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THIS FAX CONTAINS 8 PAGE(S) INCLUDING THIS ONE.

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PTO/SB/21 (09-04)  
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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	107789,317	
	Filing Date	02-27-2004	
	First Named Inventor	Klaus KUNZE et al.	
	Art Unit	2811	
	Examiner Name		
Total Number of Pages in This Submission	8	Attorney Docket Number	IDR0020

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ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/Declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): • Fax Transmission Cover Page • Request for Corrected Filing Receipt • Filing Receipt Indicating Requested Correction • Declaration as Filed on February 27, 2004 • Specification Cover Page as Filed
Remarks _____		
<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>		
Firm Name	The Law Offices of Andrew D. Fortney, Ph.D., P.C.	
Signature	<i>Alec B. Plumb</i>	
Printed name	Alec B. Plumb	
Date	06-06-2006	Reg. No. 56,433

CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:		
Signature	<i>Jennie Heaton</i>	
Typed or printed name	Jennie Heaton	Date 06-06-2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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**ANDREW D. FORTNEY, Ph.D., P.C.**  
401 W. FALLBROOK AVENUE, SUITE 204  
FRESNO, CALIFORNIA 93711

[WWW.FORTNEYLAW.COM](http://WWW.FORTNEYLAW.COM)

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JUN 06 2006

ANDREW D. FORTNEY, Ph.D.

ALEC B. PLUMB\*

\*REGISTERED PATENT AGENT

June 6, 2006

Application Number:	10/789,317
Filing Date:	February 27, 2004
First Named Inventor:	KUNZE, Klaus
Attorney Docket No.:	IDR0020
For: SILANE COMPOSITIONS, METHODS OF MAKING THE SAME, METHOD FOR FORMING A SEMICONDUCTING AND/OR SILICON-CONTAINING FILM, AND THIN FILM STRUCTURES FORMED THEREFROM	

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P.O. Box 1450  
Alexandria, Virginia 22313-1450  
FAX: (571) 273-8300

REQUEST FOR CORRECTED FILING RECEIPT

To Whom It May Concern:

Applicant's undersigned representative respectfully requests correction of the Title, and issuance of a Corrected Filing Receipt, in the above identified application. The correct Title is **SILANE COMPOSITIONS, METHODS OF MAKING THE SAME, METHOD FOR FORMING A SEMICONDUCTING AND/OR SILICON-CONTAINING FILM, AND THIN FILM STRUCTURES FORMED THEREFROM**, as indicated by the Title on page 1 of the attached Declaration and Power of Attorney form and by the attached cover sheet of the Specification as filed on February 27, 2004. The requested change is noted on the attached copy of the Filing Receipt mailed May 18, 2004.

Sincerely,



Andrew D. Fortney, Ph.D., Reg. No. 34,600

Alec B. Plumb, Reg. No. 56,433

THE LAW OFFICES OF ANDREW D. FORTNEY, Ph.D., P.C.

ADF: gmm



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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/789,317	02/27/2004	2811	862	IDR0020		73	3

**CONFIRMATION NO. 9221**

36872  
THE LAW OFFICES OF ANDREW D. FORTNEY, PH.D., P.C.  
7257 N. MAPLE AVENUE  
BLDG. D, 3107  
FRESNO, CA 93720

## FILING RECEIPT

\*0000000012702316\*

Date Mailed: 05/18/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Klaus Kunze, Half Moon Bay, CA;  
Wenzhuo Guo, Cupertino, CA;  
Fabio Zurcher, Brisbane, CA;  
Mao Takashima, Cupertino, CA;  
Laila Francisco, El Dorado Hills, CA;  
Joerg Rockenberger, Redwood City, CA;  
Brent Ridley, San Carlos, CA;

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MAY 21 2004

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**Domestic Priority data as claimed by applicant**

### Foreign Applications

**If Required, Foreign Filing License Granted: 05/18/2004**

**Projected Publication Date: Request for Non-Publication Acknowledged**

Non-Publication Request: Yes

**Early Publication Request: No**

**" SMALL ENTITY "**

**Title**

Silane compositions, methods of making the same, method for forming a semiconducting and/or silicon-containing film, and thin film structures formed therefrom

**Preliminary Class**

257

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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FEB.27'2004 16:02 4087383361

Kovio

#5581 P.001/004

Attorney Docket No. IDR0020

**DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below, next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**Silane Compositions, Methods of Making the Same, Method for Forming a Semiconducting and/or Silicon-Containing Film, and Thin Film Structures Formed Therefrom**

as described and claimed in the specification which

- ☒ is attached hereto.
- ☐ was filed on \_\_\_\_\_ as Express Mail No. \_\_\_\_\_ as Serial No. not yet known.
- ☐ was set forth in PCT International Application No. \_\_\_\_\_ which was filed on \_\_\_\_\_ and as amended under PCT Article 19 on \_\_\_\_\_ (if any).

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the U. S. Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

- ☐ In compliance with this duty, there is attached an Information Disclosure Statement, 37 CFR 1.97.

I hereby claim foreign priority benefits under Title 35, United States Code §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate or §365(a) of any PCT International application(s) which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT International application, having a filing date before that of the application on which priority is claimed.

- ☒ No such Applications have been filed.
- ☐ Such Applications have been filed as follows:

Prior Foreign Application(s) Application Number	Country	Priority Claimed	
		Day/Month/Year Filed	Yes No
			<input type="checkbox"/> <input type="checkbox"/>

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

- ☒ No such Applications have been filed.
- ☐ Such Applications have been filed as follows:

Prior Provisional Application(s) Application Number	Priority Claimed Under 35 USC 119(e) Day/Month/Year Filed

Customer No. 36872

Page 1 of 4

.27'2004 16:04 4087383361

Kovio

#5582 P.004/004

**Attorney Docket No. IDR0020**

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose to the Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

- ☒ No such Applications have been filed.  
☐ Such Applications have been filed as follows:

Application Serial No.	Filing Date	Status (Patented, Pending, Abandoned)
------------------------	-------------	---------------------------------------


I hereby appoint practitioners at Customer No. 36872:

**Andrew D. Fortney, Ph.D. (Registration No. 34,600)**

as my attorney(s) and/or agents with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith. Send all correspondence to:

Customer No. 36872  
Andrew D. Fortney, Ph.D.  
The Law Offices of Andrew D. Fortney, Ph.D., P.C.  
7257 N. Maple Avenue, Bldg. D, No. 107  
Fresno, CA 93720  
Telephone Number (559) 299-0128  
Facsimile (559) 299-0118

I hereby declare that I have reviewed and understand the contents of this Declaration, and that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Sole/First Inventor: <b>Klaus KUNZE</b>	
Inventor's Signature: 	Date: Month/Day/Year <b>02/26/04</b>
Residence: <b>453 Potter Ave., Half Moon Bay, CA 94019</b>	
Citizenship: <b>Germany</b>	
Post Office Address: <b>453 Potter Ave., Half Moon Bay, CA 94019</b>	

Customer No. 36872

Page 2 of 4

## UNITED STATES PATENT APPLICATION FOR

SILANE COMPOSITIONS, METHODS OF MAKING THE SAME, METHOD FOR  
FORMING A SEMICONDUCTING AND/OR SILICON-CONTAINING FILM, AND  
THIN FILM STRUCTURES FORMED THEREFROM

## Inventors:

Klaus Kunze

Wenzhuo Guo

Fabio Zurcher

Mao Ito

Laila Francisco

Joerg Rockenberger

Brent Ridley

Docket No.: IDR0020Customer No.: 36872Express Mail No.: EU881622588US